



wallace cititraining

NASD Entrance Level Other Courses

Series 6

Investment Company Products/Variable Contracts

Limits your activities to the sale of investment company products e.g. mutual funds, money market funds and variable annuities - for an NASDR broker/dealer.

Exam profile

2 hours 15 minutes 100 questions

Blended Learning

Study Manual - printed

Practice Examinations & Explanations - printed & Interactive

One-on-one or small group tuition

Prep Program - CD-Rom or Interactive

Video Learning - series of 3 videos available:

1. Markets, Regulation & General Principals
2. Variable Contracts & Retirement Plans
3. Investment Companies

Instructor Hotline

Series 63

Uniform Securities Agent State Law Examination (USASLE)

Allows you to engage in securities transactions in those states that require registration at state level. Also known as the Blue Sky examination, Series 63 covers state securities laws and regulations.

Exam profile

1 hour 15 minutes 65 questions

Blended Learning

Study Manual - printed and Interactive

Practice Examinations & Explanations - printed and Interactive

One-on-one or small group tuition

Instructor Hotline

Series 65

Uniform Investment Advisor Law Examinations

For investment advisers selling investment products into the US. This exam tests state and federal securities laws, regulations and unethical business practices



NASD Entrance Level - Other Courses

as they pertain to investment advisers.

Exam profile

3 hours 130 questions

Blended Learning

Study Manual - printed

Practice Examinations & Explanations - printed, CD-Rom or Interactive

One-on-one or small group tuition

Instructor Hotline

Series 66

Uniform Combined State Law

A combination of Series 63 and Series 65 for investment advisers. This exam was produced because of the extensive overlap in the subjects covered by Series 63 and 65. Be careful though - registration requirements vary from state-to-state and only certain states recognise Series 66.

Exam profile

2 hours 30 minutes 100 questions

Blended Learning

Study Manual - printed

Practice Examinations & Explanations - printed, CD-Rom, Interactive

One-on-one or small group tuition

Instructor Hotline



NASD Entrance Level - Other Courses

Prices

Series		£
6	Home Study (Practice Exams printed, CD Rom or on-line)	95
6	Progress Exams (as add-on - CD Rom, on-line)	35
6	Progress Exams (as stand-alone - CD Rom, on-line)	95
63	Home Study (full course printed or on-line)	95
65	Home Study (Practice Exams printed or on-line)	170
66	Home Study (full course printed or on-line)	95

VAT is not charged on books

VAT is charged on CD Rom, on-line, audio, video and Intensive Reviews