



# wallace cititraining

## FUTURES

### NASD Entrance Level Futures + Options Courses

#### Series 3

##### National Commodities Futures

This is the broadest entrance level futures qualification for the American markets. Series 3 permits you to trade commodities and financial futures, as well as options on futures. Member firm sponsorship is not a pre-requisite.

#### Exam profile

2 hours 30 minutes 120 questions

#### Blended Learning

**Study Manual** - printed  
**Practice Examinations & Explanations** - printed  
**Intensive Reviews**  
**Instructor Hotline**

#### Series 31

##### Futures Managed Funds

The Series 31 exam is aimed at Registered Representatives of securities broker/dealers who solicit interest in commodity pools - as opposed to actually dealing in them. This is an alternative route to taking the full Series 3 for securities industry RRs.

#### Exam profile

1 hour 45 questions

#### Blended Learning

**Study Manual** - printed  
**Practice Examinations & Explanations** - printed  
**One-on-one or small group tuition**  
**Instructor Hotline**

#### Series 32

##### Limited Futures Examination - Regulations

Series 32 is a shorter version of Series 3 for individuals who have passed certain of the UK's FSA exams or who are deemed competent in certain 'control functions'. It focuses on the regulatory issues covered in the longer Series 3 exam.



## NASD Entrance Level - Futures + Options Courses

### OPTIONS

#### Exam profile

1 hour 45 minutes 35 questions

#### Blended Learning

**Study Manual** - printed  
**Practice Examinations & Explanations** - printed  
**Intensive Reviews**  
**Instructor Hotline**

### Series 3

#### National Commodities Futures

This is the broadest entrance level futures qualification for the American markets. Series 3 permits you to trade commodities and financial futures, as well as options on futures. Member firm sponsorship is not a pre-requisite.

#### Exam profile

2 hours 30 minutes 120 questions

#### Blended Learning

**Study Manual** - printed  
**Practice Examinations & Explanations** - printed  
**Intensive Reviews**  
**Instructor Hotline**

### Series 5

#### Interest Rate Options Representative

For those of you who intend to sell, trade or supervise the sale of GNMA and US government interest rate option contracts. Other routes to qualification - Series 7 or Series 4.

#### Exam profile

1 hour 30 minutes 50 questions

#### Blended Learning

**Study Manual** - printed  
**Practice Examinations & Explanations** - printed  
**One-on-one or small group tuition**  
**Instructor Hotline**

### Series 7

#### General Securities - NASDR/NYSE Registered Representative

This is the 'bells & whistles' entrance level securities qualification for American markets. A Series 7 pass permits you to engage in sales and trading activities relating the broadest range of products including stocks, bonds, mutual funds, municipal securities, options (on underlying securities) and direct participation programs. NASD/NYSE member firm sponsorship is required.



## NASD Entrance Level - Futures + Options Courses

### Exam profile

3 hours 250 questions

### Blended Learning

**Study Manual** - printed & Interactive

**Practice Examinations & Explanations** - printed, CD-Rom or Interactive

**Intensive Reviews**

**Prep Program** - CD-Rom or Interactive

**Contains 4 extra practice exams, 50 question Municipals & 50 question Options tests**

**Video Learning** - 6 videos:

1. Markets & Regulation
2. Bond Concepts
3. Municipal Bonds
4. Fundamentals of Options
5. Advanced Option Strategies and Margin Accounts
6. Investment Companies

**Audio Learning** - series of audio tapes:

1. Options
2. MSRB
3. Margin
4. Bonds
5. Investment Companies

**Instructor Hotline**

**Equivalency Training and Testing**

### Series 15

#### Foreign Currency Options Representative

This course is designed for those who intend to sell, trade or supervise the sale of foreign currency option contracts. Alternative route to registration - Series 7 or Series 4 or Series 9 & 10.

### Exam profile

1 hour 50 questions

### Blended Learning

**Study Manual** - printed

**Practice Examinations & Explanations** - printed

**One-on-one or small group tuition**

**Instructor Hotline**



## NASD Entrance Level - Futures + Options Courses

### Prices

Series		£
3	Home Study	170
3	Home Study & Intensive Review	640
31	Home Study	95
32	Home Study	95
32	Home Study & Intensive Review	295
5	Home Study	150
7	Home Study with choice of printed, CD Rom or on-line finals	450
7	Practice Exams as add-on (printed, CD Rom, on-line)	50
7	Home Study with Intensive Review (includes Progress Exams - choice of CD Rom or on-line)	985
7	Interactive Progress Exams CD Rom/on-line (as stand-alone)	75
7	Interactive Progress Exams CD Rom/on-line (as add-on)	35
7	Videos (Pal/CD Rom)	
	Set 6 tapes	260
	Per tape	45
7	Audio Tapes/CD	
	Set 5 tapes	95
	Per tape	25
15	Home Study	125

VAT is not charged on books

VAT is charged on CD Rom, on-line, audio, video and Intensive Reviews